Adjunct Faculty Position: Regulation of Broker-Dealer and Future Commission Merchants

New York Law School (NYLS), located in the heart of Tribeca, seeks adjunct faculty to teach Regulation of Broker-Dealer and Future Commission Merchants.

Course Description
This course will thoroughly examine all of the various federal (SEC and CFTC) and state laws and regulations, and the exchange and self-regulatory organizations (FINRA and NFA) that impact securities and futures brokerage firms, introducing brokers and salespersons. The course will provide detailed analyses on such issues as exchange memberships, registration requirements, net capital, customer protection rules, and execution and clearing issues, all from both a U.S. and global perspective.

This is a 2-credit course, requiring an estimated nine hours per week.

Requirements
J.D. and a minimum of seven years of related experience

Salary
Highly competitive

How to Apply
Submit a cover letter summarizing your qualifications and interest in this position, along with your resume or CV, to teaching@nyls.edu. Please indicate “Regulation of Broker-Dealer and Future Commission Merchants” in the subject line.

About New York Law School
Founded in 1891, New York Law School (NYLS) is an independent law school located in Tribeca, the heart of New York City’s legal, government, financial, and emerging tech centers. Known as “New York’s law school,” NYLS embraces the city as its classroom by complementing a rigorous legal education with an innovative and diverse set of “uniquely New York” experiential learning opportunities. Since opening its doors, NYLS has produced graduates who have gone on to hold high elected and appointed office in the city, lead large and small firms, and gain broad recognition as captains of business and industry. Its renowned faculty of prolific scholars has built the School’s strength in key areas of the law, including business and financial services, intellectual property and privacy, and government and public interest law. NYLS has more than 18,000 graduates and currently enrolls around 1,100 students in its full-time and part-time J.D. programs. The School also offers an advanced-degree program in Tax Law.

New York Law School is an Equal Opportunity Employer