New York Law School Compliance Working Group
2012-2013 Co-Chairs Report

May 2013
Dear colleagues, friends, and members:

No one could predict what a tremendous success the Compliance Working Group (CWG) would be when the group first started in October 2012. What began as a brainstorming meeting in a small conference room at New York Law School (NYLS) has turned into a group of nearly 300 students, alumni, and faculty who have come together to network, educate, and promote one another in the regulatory and compliance fields. Thanks to the popularity of our LinkedIn group and the positive response of alumni, our network quickly grew to include members in New York and Washington, D.C., as well as Chicago, Los Angeles, and elsewhere. Our membership consists of students and professionals who work in compliance in a variety of industries and organizations, including regulators, banks, law firms, hedge funds, investment firms, healthcare companies, corporations, and not-for-profits. With the support of the NYLS administration and the school's Center for Business and Financial Law, we look forward to building on this initial success. In this report, we highlight some key accomplishments of our first seven months and our progress in carrying out the CWG mission statement. We also forecast some of the opportunities for 2013-2014. We hope you will consider deepening your involvement in the CWG in the coming year. Read below for ideas on how to be involved. And thank you again for making this group a success!

With best regards,

Nick Turner '12, Alumni Co-Chair
Allison Elliott '13, 3L Co-Chair
Alex Truitt '14, 2L Co-Chair

Mission Statement

In October 2012, the members of the Compliance Working Group adopted bylaws and the following mission statement:

The Compliance Working Group (CWG) is a collaborative effort between New York Law School students and alumni to promote employment in the regulatory and compliance fields. The CWG will work to 1) **educate** students and alumni about the nature of the compliance profession, 2) **advocate** for the need for increased programming on the subject of compliance at NYLS, 3) facilitate **networking** opportunities among NYLS students, alumni, and compliance professionals, and 4) **assist** NYLS students and alumni seeking internships and employment in the compliance field. The CWG will strive to serve as a **model** for student-alumni collaboration within the NYLS community.
Outcomes and Accomplishments

How did we do? Here are some highlights from the 2012/13 academic year as they relate to the goals in the CWG mission statement.

Goal 1: Educate students and alumni about the compliance profession.

CWG members found many opportunities to share their knowledge during the 2012/13 academic year. Their activities included:

- A student committee planned a successful lunchtime panel on Careers in Compliance, moderated by Prof. Howard Meyers, in March. Alumni Stacie Large '12, Brian Volkman '98, and Matthew Pizzo '11 gave tips about pursuing a career in compliance. The presentation was made available online and shared with via email and LinkedIn.

- CWG members appeared on career panels hosted by the ABA Law Student Division and CityBar and presented to classes at NYLS during the academic year.

- CWG members provided resources for the CWG's website on NYLS.edu and the group's LinkedIn page, including links to websites and publications.

- Members of the group attended the New York Law School Alternative Career Fair in February, where students had a chance to speak one-on-one with alumni to learn about opportunities in compliance and other J.D.-preferred fields.

Goal 2: Advocate for the need for increased programming about compliance.

The CWG has received outstanding support from the NYLS community. These relationships will be invaluable as we continue to promote the need for learning opportunities and programming about compliance.

- In December, CWG members voted in favor of a proposal to associate with the NYLS Center for Business Law and Policy. The association makes it easier for the CWG to plan events and promote the group’s activities at NYLS.

- In November, the Office of Development and Alumni Relations distributed a message on behalf of the CWG to NYLS alumni that resulted in a doubling of the...
CWG email list. The Office has been instrumental in promoting CWG events to alumni throughout the year.

- The Office of Career Services has served as an important link between the CWG and students who are interested in learning more about compliance and pursuing opportunities in the field. The Office has invited CWG to participate in events such as the Alternative Career Fair and has greatly enhanced the CWG's ability to communicate to both alumni and students.

- CWG members will be invited to participate in a committee this summer to brainstorm ideas for a proposal for compliance courses at NYLS.

Goal 3: Facilitate networking opportunities for NYLS students and alumni.

Networking is one of the most important aspects of career building. The CWG provided several opportunities for students and alumni to connect in person and online throughout the year.

- In January, the CWG hosted its first ever networking reception at New York Law School. More than 75 students and alumni turned out to network and enjoy refreshments in the school's events center, while learning more about the CWG. Dean Crowell's remarks included an unveiling of the new "We Are New York's Law School" street banners.

- The CWG LinkedIn page now boasts more than 200 users. LinkedIn provides a free, easy-to-use platform that allows members to connect with one another one-on-one, share information, and stay current on others' career accomplishments twenty four hours a day. An effort in December to encourage more members to use our LinkedIn group was a big success, and now more than two-thirds of CWG members are also connected through LinkedIn. Members have posted nearly 90 discussions on the group's feed since November 2012, including news articles, links to resources, job and internship postings, event invitations, and requests for information. A jobs feed was added.
in January that allows CWG members to view and search job listings provided by LinkedIn and to receive daily emails with current job listings.

- The CWG was represented at NYLS events such as the Alternative Career Fair in February and the Law Review Alumni Breakfast in March. New members joined the group following each event.

- A happy hour in TriBeCa in May gave members a chance to celebrate the end of the spring semester and to congratulate one another on a job well done.

Goal 4: Assist NYLS students and alumni seeking internships and employment.

- Members forwarded information about internship and job opportunities via email and the CWG LinkedIn group. As of May, a number of students were able to land jobs and internships thanks to contacts made through the CWG.

- NYLS alumnus Alexander Rakitin hosted a Resume Workshop in November to give students tips about creating effective compliance resumes. His presentation was also made available online.

- Alumni members shared their time by conducting resume reviews and practice interviews and answered questions by email and telephone.

- CWG members attended networking events, professional development seminars, and lectures held throughout New York, including events hosted by Prudential Insurance, Morgan Stanley, NYCLA, CityBar, and Brooklyn Law School.

Planning for 2013/14

The upcoming academic year provides an opportunity to build on the success of 2012/13. Initial proposals include:

- A Summer Planning Committee will focus on goal setting and event planning for the 2013/14 year. An Academic Committee will brainstorm ideas for compliance courses at NYLS.

- CWG members will also be called on to participate in the group's first ever leadership transition in October, when members will elect 2L, 3L, and alumni co-chairs to lead the group for the next year.

- A number of events hosted last year will be repeated, and new events, including a Fall Event, will be planned, as indicated by the proposed calendar below.

New York Law School Compliance Working Group • 2012-2013 Co-Chairs Report
Proposed 2013/14 Calendar of Events, as of May 2013

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Get Involved!

Whether you want to meet new people, learn more about compliance, help others, or find ways to promote your career, there are many ways to get involved with the Compliance Working Group. You can:

- Visit the Compliance Working Group page at NYLS.edu at [http://www.nyls.edu/centers/harlan_scholar_centers/center_for_business_and_fi nancial_law/compliance_working_group/](http://www.nyls.edu/centers/harlan_scholar_centers/center_for_business_and_fi nancial_law/compliance_working_group/)
- Attend an upcoming Compliance Working Group event.
- Mentor a student or serve as a reference.
- Give feedback on a resume or conduct a practice interview.
- Serve on the 2013/14 Planning or Academic Committees.
- Make a presentation or serve on a career panel.
- Share information about job and internship opportunities.
- Create an internship/externship at your company.

To learn more about the New York Law School Compliance Working Group and to get involved, email Nick Turner, alumni co-chair at nicholaswturner@gmail.com.